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# Grassland productivity and carbon sequestration in Mongolian grasslands: The underlying mechanisms and nomadic implications



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## ABSTRACT

**Background:** Quantifying carbon (C) dioxide exchanges between ecosystems and the atmosphere and the underlying mechanism of biophysical regulations under similar environmental conditions is critical for an accurate understanding of C budgets and ecosystem functions.

**Methods:** For the first time, a cluster of four eddy covariance towers were set up to answer how C fluxes shift among four dominant ecosystems in Mongolia – meadow steppe (MDW), typical steppe (TPL), dry typical steppe (DRT) and shrubland (SHB) during two growing seasons (2014 and 2015).

**Results:** Large variations were observed for the annual net ecosystem exchange (NEE) from 59 to 193 g C m<sup>-2</sup>, though all four sites acted as a C source. During the two growing seasons, MDW acted as a C sink, TPL and DRT were C neutral, while SHB acted as a C source. MDW to SHB and TPL conversions resulted in a 2.6- and 2.2-fold increase in C release, respectively, whereas the TPL to SHB conversion resulted in a 1.1-fold increase at the annual scale. C assimilation was higher at MDW than those at the other three ecosystems due to its greater C assimilation ability and longer C assimilation times during the day and growing period. On the other hand, C release was highest at SHB due to significantly lower photosynthetic production and relatively higher ecosystem respiration (ER). A stepwise multiple regression analysis showed that the seasonal variations in NEE, ER and gross ecosystem production (GEP) were controlled by air temperature at MDW, while they were controlled mainly by soil moisture at TPL, DRT and SHB. When air temperature increased, the NEE at MDW and TPL changed more dramatically than at DRT and SHB, suggesting not only a stronger C release ability but also a higher temperature sensitivity at MDW and TPL.

**Conclusions:** The ongoing and predicted global changes in Mongolia likely impact the C exchange at MDW and TPL more than at DRT and SHB in Mongolia. Our results suggest that, with increasing drought and vegetation type succession, a clear trend for greater CO<sub>2</sub> emissions may result in further global warming in the future. This study implies that diverse grassland ecosystems will respond differently to climate change in the future and can be seen as nature-based solutions (NBS) supporting climate change adaptation and mitigation strategies.

## 1. Introduction

Promoted by the European Union (EU), the concept of nature-based solutions (NBS) is becoming the dominant school of thought in planning and managing socioecological systems (SES) toward sustainability (European Commission, 2010; Maes and Jacobs, 2015). It advances conventional ecosystem management by focusing on society and human

wellbeing. Eggermont et al. (2015) stated that NBS “refer to the sustainable management and use of nature for tackling societal challenges”. While the primary target of the EU’s mission was human-dominated systems (e.g., urban areas, European Commission, 2010), the NBS concept seems readily applicable for rural ecosystems. One of the best examples are the dryland regions, where herders are highly dependent on nature for their nomadic practices to sustain the livestock

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(Dangal et al., 2016; John et al., 2016), which in turn determines the stability of the herders' societal and individual wellbeing. Herders migrate with their livestock across the landscape based on grassland quantity (e.g., cover type and area) and quality (e.g., productivity). From a scientific perspective, a mechanistic understanding and accurate prediction of productivity in dominant grasslands would be a first step in assisting with the herders' management activities (Dangal et al., 2016). Unfortunately, our current capability in predicting the spatio-temporal changes of grasslands on drylands lag significantly behind other biomes (e.g., forests), and are mostly based on model predictions and/or remote sensing products (Yuan et al., 2007; Hilker et al., 2014; Zhang et al., 2014; Dangal et al., 2016). For example, in situ direct measurements of gross ecosystem productivity (GEP) using flux towers in drylands remain scarce across the globe (Kato and Tang, 2008; Li et al., 2013; Xiao et al., 2013; Ahlström et al., 2015), regardless of their high sensitivity to the changing climate and human disturbances (Chen et al., 2013).

Vast grasslands account for ~60% of the Mongolian Plateau, with a total area of ~1.56 million km<sup>2</sup>; however, approximately 3 million people live there. Because Mongolia is land locked, there exists a much tighter relationship between its people and nature. This is especially true for the nomadic herders who have traditionally roamed based on grassland productivity, water, etc. (Fernández-Giménez et al., 2012; Chen et al., 2015b). Intense human activities and rapid change in climate over recent decades have produced serious ecological (IPCC, 2014; Liu et al., 2014; Shao et al., 2014; Shao et al., 2017) and socio-economic (Groisman and Soja, 2009; Qi et al., 2012, 2017; Chen et al., 2015a) consequences at both local and regional scales, such as the higher-than-average global warming rate on the plateau (John et al., 2009; Lu et al., 2009), a decreased trend in summer precipitation and an increased trend in spatial variability (John et al., 2016), and increased livestock density (Chen et al., 2015b). Worse yet, the IPCC (2014) has predicted that this water-limited region will experience a warming trend that is higher than the global mean, which would further alter summer and winter precipitation patterns and increase the frequency of extreme climatic events (Qu et al., 2016). Scientists and policy makers are becoming increasingly interested in the spatio-temporal changes of grassland productivity and/or C sequestration strength (Xie et al., 2014; Abraha et al., 2016; Lafortezza and Chen, 2016; Luo and Wu, 2016). However, direct measurements of C sequestration in Mongolia have received little attention, with most literature based on model predictions (including remote sensing modeling). To our knowledge, literature reports only a one-year eddy covariance (EC) measurement (i.e., Li et al., 2005) for this vast landscape.

Spatiotemporal changes of the dominant vegetation types on the Mongolian Plateau (e.g., meadow, dry steppe, and shrubland) have occurred at quite an alarming rate and scale and are expected to significantly increase in upcoming decades (Lioubimtseva and Henebry, 2009; Chen et al., 2015b; Kelley et al., 2015), magnifying the challenges in predicting the spatiotemporal distribution of grassland productivity. For example, total grassland area increased from 33% in 2001 to 42% in 2009 (Chen et al., 2013). John et al. (2009) reported that the sparsely vegetated area increased by 151% from 1992 to 2004, resulting in significant changes in species distribution and vegetation productivity. Our recent analyses based on MCD12Q1 between 2001 and 2012 further confirmed a 77% increase in shrublands for the East Asian dryland (Chen et al., 2013). These changes in vegetation types and distributions would result in direct feedbacks to the regional climate, livestock management, and nomadic cavities on the plateau.

To address the above pressing issues in Mongolia and fill the data gaps, a field experiment was designed for the first time in which a cluster of four EC systems was deployed to directly quantify ecosystem productivity of the dominant ecosystems, including a meadow steppe (MDW), a typical steppe (TPL), a dry typical steppe (DRT), and a shrubland (SHB) (Table 1), so that future upscaling to the region will

have a solid foundation. While our long term goal is to develop the capacity to predict grassland productivity for herders upon which they can schedule their nomadic activities, the specific objectives of this study were to: (1) explore the daily, monthly, and seasonal variations in C fluxes: GEP, ER and NEE (net ecosystem CO<sub>2</sub> exchange) of the four ecosystems; (2) quantify the biophysical regulations of GEP, ER and NEE within and among the four ecosystems; and (3) diagnose the effect of vegetation change on the GEP, ER and NEE. We hypothesized that GEP would be higher at MDW than at other grassland types because of greater NEE (i.e., more C assimilation or less C release) than ER. We also predicted that the MDW and TPL are more resistant, or less sensitive, to the changing climate than the DRT and SHB. Lessons learned from this study may provide the first palpable data for nomadic societies to use when developing future management strategies and tactics.

## 2. Materials and methods

### 2.1. Study area

The four sites are located in the Ulaanbaatar and TOV provinces of Mongolia (Fig. 1). The region lies in a temperate zone and has a distinct continental climate with an average annual air temperature and precipitation of 1.2 °C and 196 mm, respectively. The growing season from June through September is warm and relatively wet registering an annual precipitation of about 88%, while the remaining months (October–May) are cold and dry. Mean daily temperatures for January and July are –22.9 and 21.4 °C, respectively. Precipitation is quite irregular from one year to the next and shows strong seasonal variability. Frequent droughts are usually the limiting factor for plant growth in this region, which is also characterized by windy conditions. The longest distance among our four study sites is ~200 km between TPL and SHB.

MDW is a permafrost site dominated by *Leymus chinensis* meadow steppe. TPL is comprised of short-grass steppe with cool-season perennial C<sub>3</sub> grasses—*Stipa krylovii* and *Artemisia frigida*—as the dominant species. DRT is dominated by a perennial grass—*Achnatherum splendens*, a widely distributed cover type with overgrazing, while SHB is dominated by *Caragana stenophylla* shrub (Table 1). All four sites are flat with relatively homogenous vegetation, of which the dominant species contributes >80% of the cover. The soil is classified as chestnut soil (FAO) with a sand loamy texture.

### 2.2. Flux and micrometeorological measurements

Four open-path EC systems, each consisting of an infrared gas analyzer (IRGA, LI-7500, LI-COR, Lincoln, NE) and a CSAT3 three-dimensional sonic anemometer (Campbell Scientific Inc. (CSI), Logan, UT), were deployed 2.0 m above ground to obtain NEE, latent heat (LE) and sensible heat (*H*) fluxes. The raw time series (TS) of three-dimensional wind velocities, sonic temperature, and CO<sub>2</sub> and H<sub>2</sub>O concentrations were sampled at a 10 Hz frequency. The IRGA was calibrated before field setup and at the beginning of the growing season each year.

Micrometeorological measurements included photosynthetically active radiation (PAR) (LI-190, LI-COR), net radiation (*R<sub>n</sub>*) (CNR4, Kipp & Zonen, Delft, Netherlands), relative humidity (RH) and air temperature (*T<sub>a</sub>*) (HMP45C, CSI) 2.0 m above ground. Rainfall was measured with tipping bucket rain gauges (TE-525, CSI). Soil temperature (*T<sub>s</sub>*) was measured at 0.05 and 0.10 m depths with eight CS107 probes (CSI). The top 0.30 m averaged volumetric soil water content (SWC) was measured using eight vertically inserted CS616 probes (CSI). Soil heat flux (*G*) was measured at twelve locations using heat flux plates (HFT3.1, CSI) placed 0.02 m below the ground surface. Instrument maintenance was performed biweekly, and the online-computed mean half-hourly scalar fluxes and micrometeorological

**Table 1**

Geographic location, community height (cm), coverage (%), leaf area index (LAI), green above ground biomass (GNPP,  $\text{g m}^{-2}$ ), and standing dead and litter biomass ( $\text{g m}^{-2}$ ) of the peak growing period in 2014 at the meadow (MDW), typical steppe (TPL), dry typical steppe (DRT) and shrubland (SHB). Values are shown as mean  $\pm$  SD ( $n = 4$ ). Significant differences between ecosystems are indicated by different letters (a,b and c) at  $P = 0.05$ .

Site name (ab.)	MDW	TPL	DRT	SHB
Latitude (N)	47.7533	47.6884	47.8556	47.8770
Longitude (E)	107.4065	107.2657	105.1698	105.3185
Dominant species	<i>Carex pediformes</i> <i>Leymus chinensis</i> <i>Poa attenuata</i> <i>Iris Bungei</i>	<i>Stipa krylovii</i> <i>Artemisia frigida</i> <i>Potentilla anserina L.</i> <i>Cleistogenes squarrosa</i>	<i>Achnatherum splendens</i> <i>Carex duriuscula</i> <i>Artemisia Adamsii</i>	<i>Caragana stenophylla</i> <i>Carex duriuscula</i> <i>Cleistogenes squarrosa</i> <i>Artemisia frigida</i>
Canopy height (cm)	25.0 $\pm$ 3.5 <sup>a</sup>	30.0 $\pm$ 3.5 <sup>b</sup>	61.3 $\pm$ 45.1 <sup>c</sup>	23.8 $\pm$ 4.1 <sup>a</sup>
LAI	0.85 $\pm$ 0.09 <sup>a</sup>	0.96 $\pm$ 0.09 <sup>a</sup>	0.98 $\pm$ 0.29 <sup>b</sup>	0.77 $\pm$ 0.15 <sup>c</sup>
Canopy coverage (%)	76.3 $\pm$ 4.1 <sup>a</sup>	70.0 $\pm$ 3.5 <sup>a</sup>	51.3 $\pm$ 23.6 <sup>b</sup>	56.3 $\pm$ 4.1 <sup>b</sup>
GNPP ( $\text{g m}^{-2}$ )	144.2 $\pm$ 14.8 <sup>a</sup>	125.9 $\pm$ 11.1 <sup>b</sup>	107.6 $\pm$ 33.4 <sup>c</sup>	100.6 $\pm$ 19.9 <sup>c</sup>
Standing dead ( $\text{g m}^{-2}$ )	46.4 $\pm$ 8.4 <sup>a</sup>	4.5 $\pm$ 2.6 <sup>b</sup>	8.3 $\pm$ 4.9 <sup>b</sup>	5.2 $\pm$ 3.1 <sup>b</sup>
Litter ( $\text{g m}^{-2}$ )	47.5 $\pm$ 11.5 <sup>a</sup>	71.6 $\pm$ 8.4 <sup>a</sup>	61.9 $\pm$ 16.8 <sup>a</sup>	14.1 $\pm$ 2.4 <sup>b</sup>

observations along with the TS data were recorded using a CR5000 datalogger (CSI).

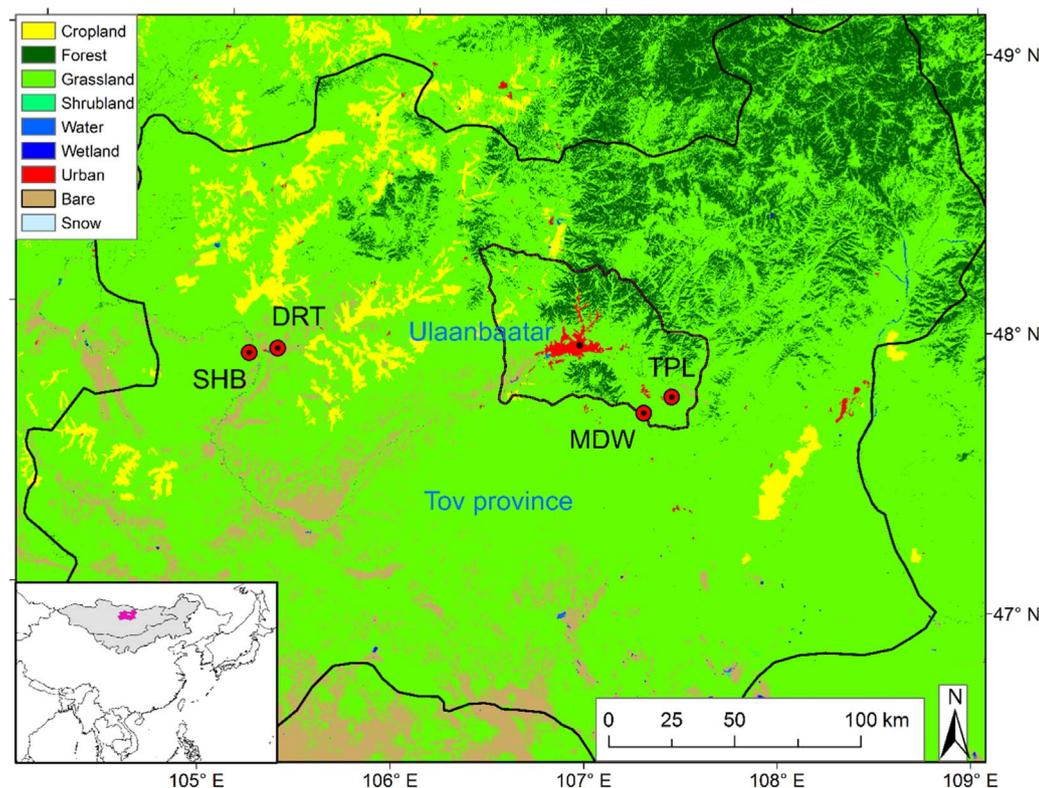
### 2.3. Vegetation measurements

Aboveground green net primary production (GNPP), including all green tissues (Shao et al., 2012), standing dead and litter biomass, were measured biweekly by clipping during growing seasons over the two years using four randomly selected  $0.5 \text{ m} \times 0.5 \text{ m}$  quadrats at the four directions at each site. The mean canopy height and cover were estimated at peak biomass (usually late July or early August, Table 1). The biomass samples were oven dried at  $65^\circ \text{C}$  to a constant weight ( $\approx 48 \text{ h}$ ) to obtain biomass estimates. Leaf area index (LAI) was measured by LI-3000 (LI-COR) for the GNPP before drying, or the specific leaf area (SLA) was used to estimate if only GNPP was available.

### 2.4. Flux calculation, QA/QC and gap-filling

Half-hourly NEE, LE and  $H$  were calculated using EdiRe (University

of Edinburgh, v1.5.0.32, <http://www.geos.ed.ac.uk/abs/research/micromet/EdiRe>) following the workflow of Chu et al., (2014, 2015). Raw TS data quality was checked and spikes were removed. The diagnostic signals from CSAT3 and LI-7500 were used to flag periods with instrument malfunction. Time lags between measured scalars and vertical velocity were removed, and the planar fit (PF) method was applied to rotate the three velocity components into the mean streamline coordinate system (Wilczak et al., 2001). The raw sonic temperature was corrected with fluctuations of water vapor concentration. A 30-min blocking average without detrending was used (Moncrieff et al., 2004), and Webb-Pearman-Leuning (WPL) correction was applied to correct air density fluctuation (Webb et al., 1980). The stationarity, integral turbulence characteristics, and friction velocity ( $u^*$ ) of each 30-min flux were calculated to filter out the periods with poor turbulent development. The  $u^*$  threshold was set at  $0.1 \text{ m s}^{-1}$  (Shao et al., 2008). We performed experiential range checks for each of the physical variables. We also adopted a 7-day moving window in the time series of half-hourly fluxes to detect and filter out erroneous fluxes ( $>6$  times the standard deviation of each window). Lastly, the footprint for each half-



**Fig. 1.** Locations of the eddy flux measurement sites in the four ecosystems on the Mongolian Plateau – meadow (MDW), typical (TPL), dry typical (DRT) and shrubland (SHB) steppes.

hourly flux was calculated and used to omit periods with < 80% of the measured fluxes originating from measurement fetch (see Section 2.5). To evaluate the performance of the EC systems, energy balance closure (EBC) was conducted with linear regression of the turbulent energy against available energy during the two growing seasons. An EBC of 0.76–0.91 is within normal range for most grassland studies (Wilson et al., 2002; Foken, 2008).

There were 57–63%, 57–63% and 69–81% of NEE, LE and  $H$ , respectively, classified as “good data”, that passed the quality checks as described above. The “good data” were then submitted to directly compare the NEE differences among the four ecosystems or for gap-filling procedures to obtain cumulative fluxes and for flux-partitioning. For the gap-filling, a linear interpolation was first applied for gaps < 1.5 h. The remaining gaps were filled using the marginal distribution sampling (MDS) method by obtaining (1) mean half-hourly values with similar micrometeorological conditions (PAR, VPD, and  $T_a$ ) within a given window size around the gaps or (2) mean diurnal values from a given window size around the gaps when micrometeorological data were not available. The window size increased from 7 to 14 to 28 days through the iteration of (1) and (2) (Shao et al., 2017). LI-7500 surface heating errors (Burba et al., 2008) were corrected when the sonic temperature reached below  $-5$  °C because our results showed some differences in NEE and LE only in winter with these corrections (Abraha et al., 2016).

NEE was further partitioned into ER and GEP according to Reichstein et al. (2005). By convention, positive values of NEE indicate a C source to the atmosphere, while negative values indicate a C sink by the ecosystem. A positive sign was adopted for both GEP and ER (GEP = ER-NEE). The rectangular hyperbola model at 30-min scale was built to describe the partial dependence of the NEE on the PAR, and to obtain the light response curve parameters (Falge et al., 2001):

$$NEE = \frac{\alpha \times NEE_{\max} \times PAR}{\alpha \times PAR + \alpha \times NEE_{\max}} + ER_{\text{day}} \quad (1)$$

where  $NEE_{\max}$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) is the maximum C assimilation rate, and  $ER_{\text{day}}$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) is the bulk ER during daytime.  $\alpha$  is the ecosystem light use efficiency ( $\mu\text{mol CO}_2 \mu\text{mol quanta}^{-1}$ ), PAR unit is  $\mu\text{mol m}^{-2} \text{ s}^{-1}$ .

$Q_{10}$  was determined as:

$$Q_{10} = \exp(10b) \quad (2)$$

where  $b$  is the regression coefficient from nighttime NEE to fit the half-hourly soil temperature at 0.10 m depth (Lloyd and Taylor, 1994):

$$NEE_{\text{nighttime}} = a \exp(bT_s) \quad (3)$$

**Table 2**

Comparison of growing season and annual level of major meteorological factors and carbon fluxes in the four ecosystems in 2014 and 2015.  $T_a$ , mean daily air temperature at 2.0 m height;  $T_s$ , mean daily soil temperature at 0.10 m depth; SWC, mean daily soil volumetric water content at 0–0.3 m depth; VPD, mean daily vapor pressure deficit, Rain, rainfall; NEE, net ecosystem  $\text{CO}_2$  exchange; ER, ecosystem respiration; GEP, gross ecosystem production; Unc, summed uncertainties.

Item	Growing season (June–September)								Entire year							
	2014				2015				2014				2015			
	MDW	TPL	DRT	SHB	MDW	TPL	DRT	SHB	MDW	TPL	DRT	SHB	MDW	TPL	DRT	SHB
$T_a$ (°C)	12.6	13.3	16.6	16.1	14.2	15.0	18.2	17.8	– 0.6	– 0.3	2.9	1.5	– 0.1	0.2	3.4	2.1
$T_s$ (°C)	10.6	14.4	18.4	20.9	8.5	15.7	19.9	21.1	0.1	0.6	5.8	4.9	– 0.4	1.0	6.2	5.2
SWC (%)	67.3	13.0	6.9	9.5	63.0	8.9	8.4	9.4	38.3	7.7	5.7	7.4	36.9	6.8	6.3	7.4
VPD (kPa)	0.71	0.65	0.89	0.89	0.89	0.88	1.13	1.10	0.45	0.43	0.60	0.56	0.50	0.49	0.64	0.61
Rain (mm)	152	142	127		101	94	79		174	166	144		123	118	96	
NEE ( $\text{g C m}^{-2}$ )	–92	–36	–19	32	–75	32	36	53	59	126	139	168	79	187	193	186
Unc ( $\text{g C m}^{-2}$ )	23	21	19	20	24	21	20	20	27	26	22	24	29	26	23	24
ER ( $\text{g C m}^{-2}$ )	487	370	259	220	328	181	241	180	616	499	410	342	453	309	395	302
Unc ( $\text{g C m}^{-2}$ )	23	21	20	20	24	21	20	20	28	26	24	24	29	26	24	25
GEP ( $\text{g C m}^{-2}$ )	579	406	278	188	403	149	205	127	557	373	271	174	374	122	202	116
Unc ( $\text{g C m}^{-2}$ )	20	19	19	18	21	20	19	19	22	21	21	21	24	22	22	21

## 2.5. Footprint and uncertainties analysis

The source area of each half-hourly flux was calculated with the footprint model from Kormann and Meixner (2001). We found that 71–78%, 85–90%, and 92–95% of the cumulative fluxes were contributed by areas within 100, 200 and 400 m radii, respectively, centering our towers throughout the study period. Since the towers were far away (>2000 m) from other ecosystems, the influences of other ecosystems on our flux measurements appeared negligible. Thus, our measured fluxes can adequately represent the NEE and energy exchanges of each site. Uncertainties arising from gap filling and  $u^*$  threshold, and those computed from Monte Carlo simulations ( $N = 1000$ , 95% confidence intervals) were propagated into C flux uncertainties (Aurela et al., 2002; Reichstein et al., 2005).

## 2.6. Data analysis

To isolate the vegetation effects on C fluxes by year, we divided the dataset into two years: the first year (Year 1) from June 2014 to May 2015, and the second year (Year 2) from November 2014 to October 2015. Each year included an entire growing season (June–September) and was comparable with other studies. To examine the dependence of key biophysical regulations on C exchange among different ecosystems, the NEE–PAR response was first modeled. We grouped the daytime NEE of the growing seasons by  $T_a$  ( $T_a \leq 10$  °C,  $10$  °C <  $T_a \leq 20$  °C, and  $T_a > 20$  °C), VPD ( $VPD \leq 1$  kPa,  $1$  kPa <  $VPD \leq 2$  kPa, and  $VPD > 2$  kPa), and SWC ( $SWC \leq 10\%$ ,  $10\% < SWC \leq 15\%$ , and  $SWC > 15\%$ ) to examine the dependence of the NEE–PAR relationship on these abiotic variables (Fig. 3, Table 3). The NEE data were further grouped by PAR into  $100 \mu\text{mol m}^{-2} \text{ s}^{-1}$  bins ranging from 0 to  $2400 \mu\text{mol m}^{-2} \text{ s}^{-1}$  for bin averages. Statistically, this data compilation helped to reduce or offset the errors associated with the measurements resulting from the intermittent natural state of turbulence caused by horizontal transport across large sunny and shaded patches (Falge et al., 2001; Li et al., 2005).

A stepwise multiple regression analysis was performed to investigate the relationships of C fluxes with biotic and abiotic variables in SPSS (V22, SPSS Inc., IL, USA). The PF coordinate rotation, gap-filling procedure and other statistical analyses were accomplished with R language (R Development Core Team, 2013 version 3.0.0).

## 3. Results

### 3.1. Biotic and abiotic environment

The PAR,  $T_a$ ,  $T_s$ , and VPD showed noticeable differences among the

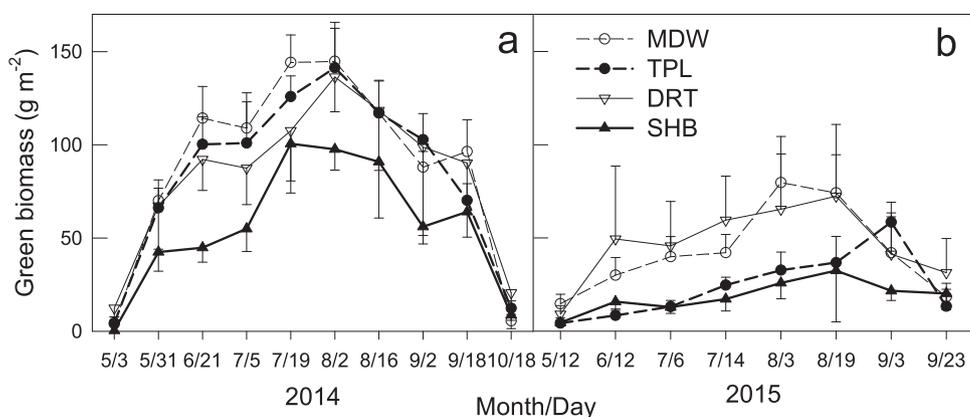


Fig. 2. Seasonal variations of green biomass in the four ecosystems during the two growing seasons in 2014 and 2015.

four sites (Table 2). Growing season  $T_s$  at 0.10 m depth was 10.6 °C at MDW, 14.4 °C at TPL, 18.4 °C at DRT, and 20.9 °C at SHB in 2014, which was twice that of MDW, with similar  $T_s$  variations in 2015. The  $T_a$  showed similar changes to  $T_s$ . The SWC was 5–6 times higher at MDW than at all the other three sites that echoed the rainfall events. The VPD at DRT and SHB was greater than that at MDW and TPL in both years. The rainfall events showed clear monthly variability in the first growing season, with 40, 71, 36, and 5 mm from June through September for the four ecosystems, respectively. Most rainfall events were recorded in the early half of the growing season within the critical plant development period in June. In the second growing season, rainfall events of 9, 53, 28, and 11 mm were recorded from June through September. Rainfall was one third less in the second growing season than in the first (Table 2).

The GNPP of the four sites showed a unimodal shape in both years, with greater values at MDW than that at SHB (Fig. 2). In late July 2014, the maximum GNPP was 144.2 g m<sup>-2</sup> for MDW and 125.9 g m<sup>-2</sup> for TPL, which was significantly ( $P < 0.05$ ) greater than those at DRT (107.6 g m<sup>-2</sup>) and at SHB (100.6 g m<sup>-2</sup>). Our vegetation surveys also indicated similar higher canopy cover (70–76%) at MDW and TPL; however, DRT and SHB had significantly ( $P < 0.05$ ) lower cover (51–56%) (Table 1). Additionally, MDW had much more standing dead biomass, while SHB had sparse ground litter compared to the other three ecosystems. The GNPP of DRT was the highest among the four sites in August 2015.

### 3.2. Diurnal changes of NEE

The diurnal NEE variations for both growing seasons showed the expected daily change with daytime C assimilation and night-time C release in all four ecosystems (Fig. 3). In June and July of Year 1 and August of Year 2, the NEE moved from C release to assimilation after dawn. The C assimilation rate was highest around noon, after which it decreased. The NEE switched from a negative to a positive value at dusk. The diurnal C assimilation peak time occurred earlier at SHB than at DRT and TPL, while the latest occurrence was at MDW. Conversely, the diurnal C assimilation time had a reverse pattern that occurred earlier at MDW than at TPL and DRT while the latest occurrence was at SHB (Fig. 3b and g), demonstrating that MDW had a longer C assimilation period during the day than the other three ecosystems. The earliest C absorption start period was recorded for MDW as well, while SHB recorded the latest month. The C assimilation periods for the two growing seasons were 8, 4, 6, and 3 months at MDW, TPL, DRT and SHB, respectively, showing that MDW had the longest C assimilation period during any given year.

The diurnal amplitude of NEE also varied substantially among the four ecosystems (Fig. 3). Generally, the daytime C assimilation ability of the canopy was greater at MDW than at TPL and DRT, while it was the lowest at SHB. The most significant daily changes in the four

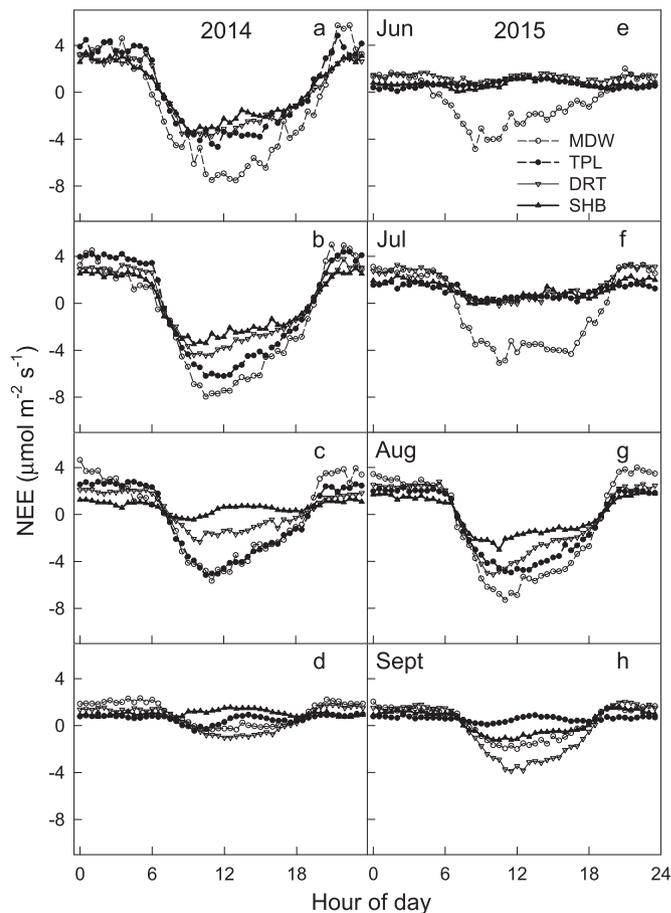
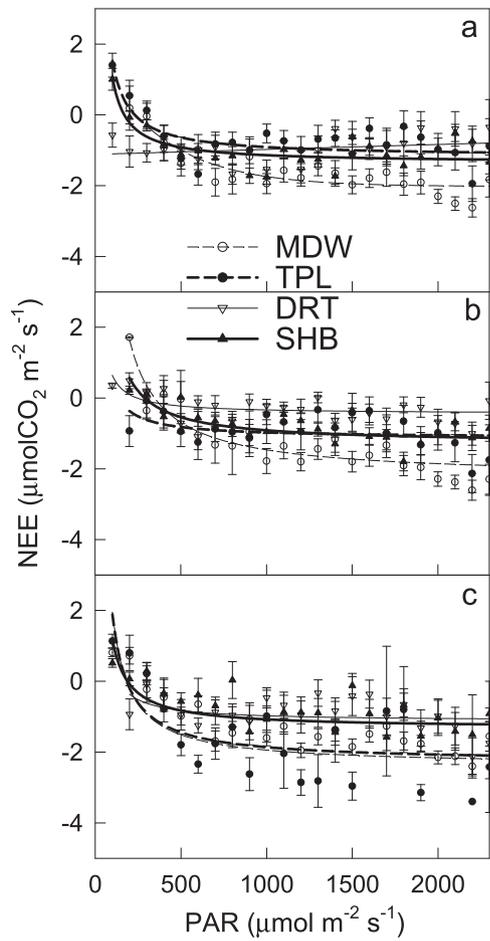


Fig. 3. Monthly average diurnal course of net ecosystem CO<sub>2</sub> exchange (NEE) at the four ecosystems during the two growing seasons in 2014 and 2015.

ecosystems were recorded in July for Year 1 (Fig. 3b) and in August for Year 2 (Fig. 3g). The minimal and maximal NEE of the steppe were  $-7.9$  and  $5.4 \mu\text{mol m}^{-2} \text{s}^{-1}$ , respectively, both in July 2014. The peak C assimilation at MDW was approximately 2.6-fold the value observed at TPL, 2.8-fold at DRT and 3.5-fold at SHB. Nighttime NEE was low in magnitude relative to daytime NEE, with higher values at MDW and TPL compared to DRT and SHB. Nighttime NEE was higher at MDW than TPL in most months, while it was higher at TPL than MDW during the early and late growing seasons.



**Fig. 4.** Daytime net ecosystem CO<sub>2</sub> exchange (NEE) light response curves at the same air temperature (10 °C < T<sub>a</sub> ≤ 20 °C, a), soil volumetric water content (SWC > 15%, b), and vapor pressure deficit (1 kPa < VPD < 2 kPa, c) of the four ecosystems during the two growing seasons. Eq. (1) was used to fit the data and the regression coefficients presented in Table 3. The NEE data were grouped by PAR into 100 μmol m<sup>-2</sup> s<sup>-1</sup> bins for averages.

### 3.3. Responses of NEE to abiotic variables

#### 3.3.1. Responses of daytime NEE to PAR

Daytime C assimilation increased with PAR at low-to-intermediate levels. However, as PAR exceeded a certain level, the relative decrease in NEE per unit PAR began to change, becoming stable in all four ecosystems, as expected (Fig. 4). There were noticeable differences in the light response curves among different T<sub>a</sub>, SWC and VPD conditions and among the four ecosystems (Fig. 4, Table 3). The absolute values of quantum yield (α) were considerably reduced at TPL, DRT and SHB, but increased at MDW with an increase in T<sub>a</sub> (Table 3). The model-derived saturated NEE (NEE<sub>max</sub>) was highest when T<sub>a</sub> was optimal. As T<sub>a</sub> increased to 20 °C, NEE<sub>max</sub> was only 28%, 39%, 54% and 66% at MDW, TPL, DRT and SHB, respectively, as opposed to when 10 < T<sub>a</sub> ≤ 20 °C. Under the three T<sub>a</sub> conditions, NEE<sub>max</sub> was clearly greater at MDW and SHB than at TPL and DRT. ER<sub>day</sub> was the highest at MDW when 10 < T<sub>a</sub> ≤ 20 °C and at SHB when T<sub>a</sub> ≤ 10 °C. The absolute values of both α and NEE<sub>max</sub> were considerably reduced in a low SWC range. As SWC dropped to < 10%, α was only ~ 50% compared to when SWC was > 10% in TPL, DRT and SHB, while NEE<sub>max</sub> was only 50%, 38% and 87%, respectively, under 10% SWC conditions. When the water condition was good (> 15%), the NEE<sub>max</sub> was the highest at TPL; and when the water condition was low (≤ 10%), the NEE<sub>max</sub> was the highest at SHB. Similarly, both α and NEE<sub>max</sub> were considerably reduced when the VPD increased. As VPD increased from 1 kPa to 2 kPa, the NEE<sub>max</sub> dropped to only 30%, 31%, 46% and 40% at MDW, TPL,

**Table 3** Estimated coefficients describing the rectangular hyperbolic responses of daytime net ecosystem CO<sub>2</sub> exchange (NEE) to incident PAR (Eq. (1)) at different air temperature (T<sub>a</sub>), soil water content (SWC), and vapor pressure deficit (VPD) levels at the four ecosystems during the two growing seasons in 2014 and 2015. NEE<sub>max</sub>, the saturation value of NEE at an infinite light; ER<sub>day</sub>, the model-derived bulk ecosystem respiration; α, the apparent quantum yield. NA, data not available.

Variable range	NEE <sub>max</sub> (μmol CO <sub>2</sub> m <sup>-2</sup> s <sup>-1</sup> )				ER <sub>day</sub> (μmol CO <sub>2</sub> m <sup>-2</sup> s <sup>-1</sup> )				α (μmol CO <sub>2</sub> μmol quanta <sup>-1</sup> )			
	MDW	TPL	DRT	SHB	MDW	TPL	DRT	SHB	MDW	TPL	DRT	SHB
T <sub>a</sub> ≤ 10 °C	- 4.4(1.2)	- 2.6(3.5)	- 2.6(2.4)	- 2.8(4.5)	1.1(1.0)	1.9(3.6)	1.6(2.4)	2.1(4.6)	- 0.005(0.005)	- 0.026(0.099)	- 0.027(0.082)	- 0.040(0.017)
10 < T <sub>a</sub> ≤ 20 °C	- 7.2(5.1)	- 2.8(1.7)	- 2.6(1.7)	- 3.2(0.6)	4.7(5.3)	1.5(3.3)	1.7(1.7)	1.4(0.7)	- 0.058(0.107)	- 0.002(0.001)	- 0.023(0.048)	- 0.004(0.001)
T <sub>a</sub> > 20 °C	- 2.0(9.8)	- 1.1(5.7)	- 1.4(0.7)	- 2.1(0.8)	2.0(9.8)	1.5(2.6)	3.2(2.4)	0.5(0.5)	- 0.129(0.128)	- 0.001(0.021)	- 0.004(0.001)	- 0.001(0.002)
SWC ≤ 10%	NA	- 0.9(2.0)	- 0.8(3.6)	- 2.7(7.1)	NA	0.9(2.0)	1.8(3.0)	2.8(2.6)	NA	- 0.004(0.020)	- 0.006(0.002)	- 0.006(0.003)
10% < SWC ≤ 15%	NA	- 1.8(2.1)	- 2.1(4.8)	- 3.1(3.0)	NA	1.3(2.2)	0.8(2.8)	1.8(1.5)	NA	- 0.010(0.050)	- 0.010(0.004)	- 0.010(0.001)
SWC > 15%	- 4.7(0.6)	- 6.6(3.0)	NA	- 2.7(0.5)	2.1(0.8)	3.6(3.4)	NA	1.0(0.7)	- 0.010(0.008)	- 0.030(0.050)	NA	- 0.005(0.005)
VPD ≤ 1 kPa	- 4.6(0.9)	- 4.2(3.1)	- 3.7(2.6)	- 4.0(1.2)	1.7(1.2)	3.3(3.3)	1.7(3.6)	2.2(1.4)	- 0.009(0.011)	- 0.069(0.026)	- 0.270(0.021)	- 0.027(0.028)
1 kPa < VPD ≤ 2 kPa	- 4.8(2.1)	- 3.0(2.3)	- 2.3(0.5)	- 2.7(0.6)	2.1(2.6)	3.0(3.3)	3.4(2.1)	0.6(0.7)	- 0.010(0.010)	- 0.044(0.024)	- 0.001(0.001)	- 0.002(0.001)
VPD > 2 kPa	- 1.4(0.8)	- 1.2(3.2)	- 1.7(1.5)	- 1.6(1.2)	1.4(0.9)	1.2(3.0)	3.0(1.3)	0.3(0.6)	- 0.060(0.070)	- 0.060(0.030)	- 0.001(0.001)	- 0.001(0.002)

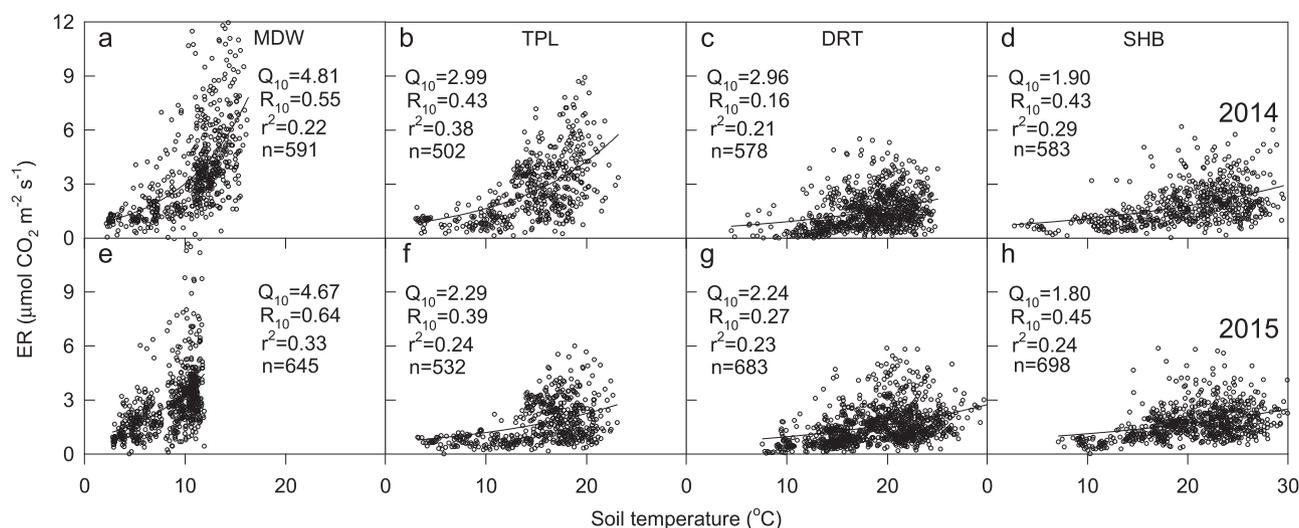


Fig. 5. Response of nighttime ecosystem respiration (ER) to soil temperature at 100 mm depth at MDW (a, e), TPL (b, f), DRT (c, g) and SHB (d, h) during the two growing seasons in 2014 and 2015.  $R_{10}$  is the respiration ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) at the reference soil temperature of 10 °C and  $Q_{10}$  is the respiration temperature sensitivity coefficient.

DRT and SHB, respectively;  $\alpha$  was reduced as well.

3.3.2. Responses of nighttime NEE to soil temperature

The exponential relationships between nighttime NEE (ER) and  $T_s$  were detected based on nighttime data during the growing season in both years (Fig. 5). Comparison of the four ecosystems showed that ER at MDW was more sensitive to  $T_s$  change than at the other three ecosystems. The temperature sensitivity of ER ( $Q_{10}$ ) was estimated to be 1.8–4.8 during the growing period, with the highest  $Q_{10}$  at MDW and the lowest at SHB. The ER at reference temperature ( $R_{10}$ ) was highest at MDW ( $0.60 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) and the lowest at DRT ( $0.24 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ). The  $R_{10}$  in TPL and SHB was very close to the mean value of  $0.43 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ .

3.4. Daily, seasonal and annual variations in GEP, ER and NEE

NEE, ER, and GEP for different ecosystems showed similar seasonal changes, which were closely related to the growth and phenology of vegetation in the two years (Fig. 6 vs Fig. 2). NEE, ER, and GEP values and the variations were much lower at DRT and SHB than at MDW and TPL. The minimum daily NEE values were  $-4.9$ ,  $-1.9$ ,  $-1.7$  and

$-1.4 \text{ g C m}^{-2} \text{ d}^{-1}$  at MDW, TPL, DRT and SHB, respectively, in July or August. The maximum ER was  $7.5$ ,  $5.8$ ,  $3.4$  and  $3.0 \text{ g C m}^{-2} \text{ d}^{-1}$ , while the maximum GEP was  $9.2$ ,  $6.3$ ,  $5.8$  and  $3.9 \text{ g C m}^{-2} \text{ d}^{-1}$ .

All four sites were C sources at the annual scale, with 69, 157, 166 and  $177 \text{ g C m}^{-2}$  at MDW, TPL, DRT and SHB, respectively (Table 2). The annual GPP/ER ratio in these four ecosystems was always  $<1$ , which also demonstrates their C-source nature. During the growing season, the NEE was  $-84$ ,  $-2$ ,  $9$  and  $43 \text{ g C m}^{-2}$ , respectively, as MDW acted as a C sink, TPL and DRT as C neutral, and SHB as a C source. The integrated order of GEP and ER in each ecosystem was greater at MDW, followed by TPL and DRT, and SHB. MDW had the highest GEP and ER, resulting in the highest C assimilation during the growing season and lowest C release in both years among the four ecosystems (Fig. 7, Table 2). SHB had the lowest GEP, but the highest C release. The annual GEP and ER varied widely among sites and years. Annual ER ranged

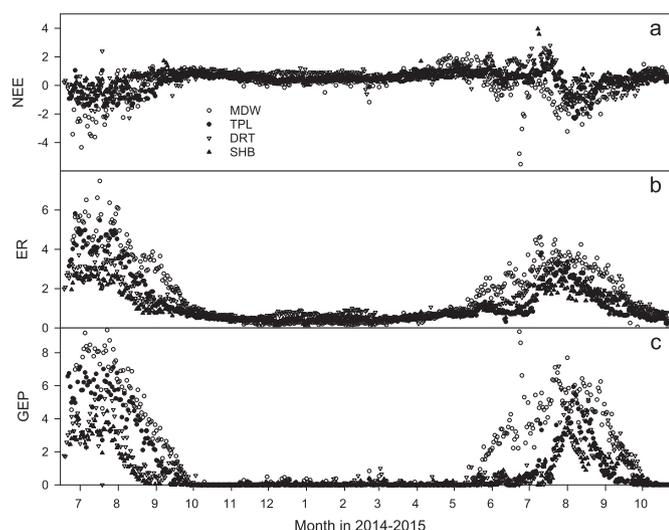


Fig. 6. Seasonal change in daily carbon fluxes ( $\text{g C m}^{-2} \text{ yr}^{-1}$ ) of the net ecosystem exchange (NEE), ecosystem respiration (ER), and gross ecosystem production (GEP) in the four ecosystems.

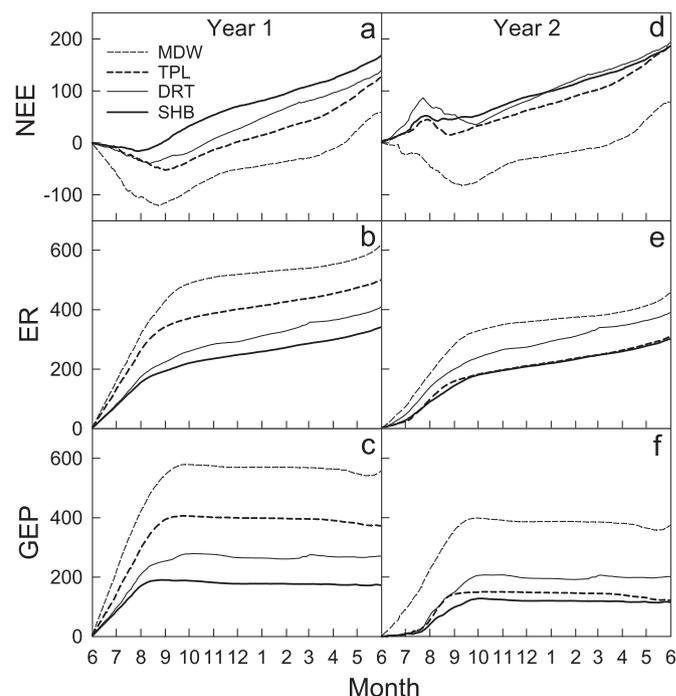


Fig. 7. Two year accumulative variations in carbon fluxes ( $\text{g C m}^{-2} \text{ d}^{-1}$ ) of the net ecosystem CO<sub>2</sub> exchange (NEE), ecosystem respiration (ER), and gross ecosystem production (GEP) in the four ecosystems on the Mongolian Plateau in 2014 and 2015.

from 302 g m<sup>-2</sup> (SHB in 2015) to 616 g m<sup>-2</sup> (MDW in 2014), and GEP ranged from 116 to 557 g m<sup>-2</sup> at the same sites.

## 4. Discussion

### 4.1. Abiotic regulation of daytime NEE

MDW – the typical grasslands under permafrost – had the strongest C assimilation potential when compared to the other three ecosystems under the same  $T_a$ , SWC, and VPD conditions (Fig. 4). Temperature influences photosynthesis and respiration of plants primarily via temperature-dependent Rubisco enzyme activity (Farquhar et al., 1980; Turner et al., 1985). In our study, the optimum  $T_a$  for NEE<sub>max</sub> appeared to be 10–20 °C (Table 3), which is ~5 °C lower than that reported by Zhang et al. (2007) at a temperature steppe on the southern Mongolian Plateau. A significant reduction in C assimilation was found when  $T_a$  was >20 °C in all four ecosystems, but at a much lower reduction compared to when  $T_a$  was <10 °C, showing low temperature adaptation of vegetative communities in the northern rather than southern Plateau. When  $T_a$  increased, the NEE of both MDW and TPL changed at a greater rate than at DRT and SHB, indicating not only a stronger C release potential but also a higher temperature sensitivity at MDW and TPL. The ongoing and predicted global warming trend in Mongolia (Lu et al., 2009; Chen et al., 2013) would more likely affect the MDW and TPL instead of the DRT and SHB.

SHB showed a higher NEE<sub>max</sub> and  $\alpha$  under drought conditions (SWC < 15%, Table 3), suggesting that plant species in the shrublands have higher tolerance to drought stress, though greater C assimilation potential and light use efficiency were observed at MDW and TPL under wet soil moisture conditions (SWC > 15%). Shrubland plants with high drought tolerance present substantial advantages over drought intolerant plants, compensating the effects of reduced water availability (Valladares and Pearcy, 1997; Han et al., 2014). The tolerance of plant species to drought is a common phenomenon in dryland regions (Lei et al., 2015, 2016). The result of C assimilation potential decrease with decreasing SWC in the four sites (Table 3) was consistent with the results from our earlier study of a desert steppe (Shao et al., 2013).

Both the NEE and NEE<sub>max</sub> of our ecosystems decreased with increases in VPD because the increase in VPD decreased the leaf conductance and assimilation rate (Turner et al., 1985; Chen et al., 2002). At a higher VPD, NEE is decreased because of stomatal closure under drought conditions (Farquhar et al., 1980; Chen et al., 2002). The changes of NEE<sub>max</sub> in the steppe with VPD at DRT and SHB were much smaller than that at MDW and TPL, suggesting a stronger VPD dependence for the latter two ecosystems and further emphasizing not only a stronger C assimilation potential but also a higher relative environmental sensitivity of MDW and TPL.

### 4.2. Biophysical regulations on seasonality of GEP, ER and NEE

The seasonal variations in GEP, ER and NEE at MDW were dominated by  $T_a$  (Table 4). Temperature had the most significant influence at MDW on both ecosystem activity (Mano et al., 2003; Ouyang et al., 2014) and on C flux dynamics out of the other three ecosystems because MDW experienced low temperatures and a high SWC (Table 3, Fig. 5a and e), as reported in alpine meadows (Kato and Tang, 2008; Fu et al., 2009) and tundra (Huemmrich et al., 2010). At MDW, both  $T_a$  and  $T_s$  were ~4 °C lower than at the other three sites (Table 2); even in late June of 2015, melting ice was found at an ~1 m depth permafrost table, where sufficient permafrost melting water refilled the soil. The higher SWC, related to the more physiologically active leaves at MDW compared to other three water-limited sites (Fig. 2), produced a greater GEP and ER, and a longer duration of C assimilation (Fig. 6). However, this refilling process may come to a stop with the disappearance of permafrost. Our results suggest that C fluxes in meadows are largely influenced by temperature (Kato et al., 2006; Fu et al., 2009).

SWC was the primary factor affecting seasonal variation in C fluxes at TPL, DRT, and SHB (Table 4). The ecosystem activity at these three drier sites were potentially affected by a low annual SWC – 6.5% at DRT and SHB, 7.3% at TPL – as seen in comparison to MDW's annual SWC of 37.6% (Table 2). Generally, water deficits cause a decline in net C assimilation and lead to a decrease in internal leaf CO<sub>2</sub> concentrations through adjusted closed leaf stomata (Farquhar et al., 1980; Buckley and Mott, 2002). As a result, the seasonal variation of GEP, ER and NEE at these three sites were directly and significantly correlated with SWC (Table 4). Our conclusions based on monthly data were consistent with previous studies that SWC was the primary factor controlling seasonal and inter-annual variations in C fluxes across the Mongolian steppes (Wang et al., 2008; Shao et al., 2013) and in other water-limited grasslands (Flanagan et al., 2002; Ma et al., 2007; Li et al., 2012).

### 4.3. Mongolian carbon sequestration in a global context with nomadic implications

A large variability exists in global grassland C sequestration based on the FLUXNET synthesis, primarily due to diverse vegetation, climate, soil and disturbance types (including land use) (Kato and Tang, 2008; Li et al., 2013; Xiao et al., 2013; Ahlström et al., 2015). In our study, the four sites represented four major vegetation succession types on the Mongolian Plateau. MDW acted as a C sink, TPL and DRT as C neutral, while SHB as a C source within the two growing seasons (Fig. 8a). The minimum daily (–5.5 to –1.4 g C m<sup>-2</sup> d<sup>-1</sup>) and seasonal sum NEEs (–92–53 g C m<sup>-2</sup>) at these four sites were within the range of values reported for grasslands (Soegaard et al., 2003; Li et al., 2005; Ahlström et al., 2015). Annual NEEs varying from 59 to 193 g C m<sup>-2</sup> with a mean of 142 ± 48 g C m<sup>-2</sup> within the annual NEE ranges were reported for grasslands elsewhere (–366–481 g C m<sup>-2</sup>) (Gilmanov et al., 2007, 2010), albeit all four sites acted as a C source.

In general, a longer growing season would cause a greater C assimilation. Following the method of Chu et al. (2015), i.e., assuming three continuous C sink/source days as the beginning/ending of a growing season, the growing season length (GSL) was 92, 60, 59 and 34 days at MDW, TPL, DRT and SHB, respectively. This indicates that the GSL at SHB was about one third of MDW, and half of TPL or DRT, suggesting that the differences in the overall phenological period were tightly related to C fluxes. These results supported our previous finding that a longer growing season is responsible for the greater C assimilation (Shao et al., 2013). The annual C assimilation in the four grassland ecosystems was less than that of the North American tall-grass prairies, which had higher precipitation (Zenone et al., 2011), and that of the intensively managed grasslands in central and northern Europe (Soussana et al., 2007).

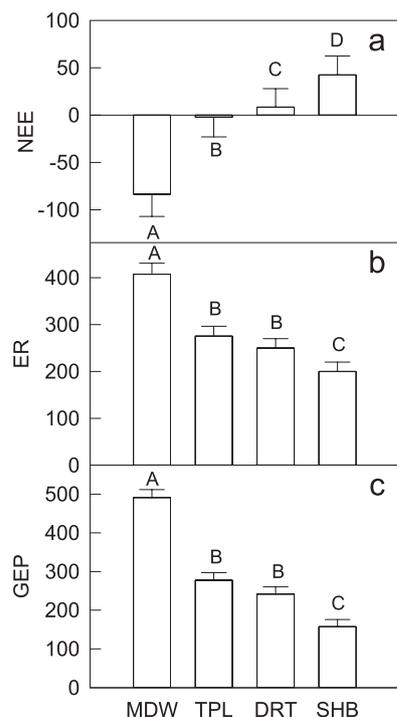
Substantial land cover and land use changes have occurred in the Mongolian grasslands over the last half-century, resulting in significant ecological and socioeconomic consequences (Han et al., 2009; Chen et al., 2013; John et al., 2016), such as C sequestration shifts. In our sites, a conversion from MDW to SHB would increase C release by 2.6-fold; MDW to TPL would increase C release by 2.2-fold, and TPL to SHB would increase C release by 1.1-fold at an annual time scale. These results provide evidence of the significant C exchange shifts among different grassland ecosystems.

We selected a few EC-based studies on the Mongolian Plateau and nearby regions for comparison (Table 5). Studies on C fluxes in meadows of the Mongolian Plateau were rare. We found that the *L.chinensis* meadow steppe was an annual source of 59 g C m<sup>-2</sup>, while Dong et al. (2011) found a C sink which had a much higher LAI. Our results were comparable with those of another meadow steppe in the south of the Qinghai-Tibet Plateau, which had similar LAI and an NEE of 55 g C m<sup>-2</sup> (Fu et al., 2009), suggesting that meadow steppe C fluxes might be controlled by LAI. The growing seasonal NEE of –36–36 g C m<sup>-2</sup> at TPL and DRT (Table 2) was similar to that of a temperate steppe with a similar LAI, varying from –12 to 20 g C m<sup>-2</sup> in 2004–2006 (Wang

**Table 4**

Statistical information regression coefficient (Coef.) and constant (Cons.), partial correlation coefficient (partial  $r$ ) and significance probability ( $P$ ) for the relationships between ecosystem C fluxes (NEE, ER, and GEP) and air temperature ( $T_a$ ), soil moisture (SWC), photosynthetically active radiation (PAR), rainfall and green above ground biomass (GNPP) using a stepwise multiple linear regression analysis at the four grassland ecosystems. The monthly data during growing seasons in 2014 and 2015 were used.  $P < 0.05$ , 0.01, and 0.001 indicated by \*, \*\*, and \*\*\*, respectively.

Site	Factors	Coef.	NEE Partial $r$	$P$	Coef.	ER Partial $r$	$P$	Coef.	GEP Partial $r$	$P$
MDW	Cons.	46.72		0.002**	32.27		0.01*	-32.41		0.06
	$T_a$	-3.13	-0.76	0.03*	0.29	0.68	0.05*	6.07	0.83	0.01**
	SWC	-0.33	-0.53	0.22	-0.05	-0.16	0.70	0.11	0.54	0.21
	PAR	0.24	0.57	0.24	0.11	0.33	0.42	-0.14	-0.52	0.23
	Rainfall	0.27	0.40	0.43	0.50	0.77	0.02*	0.14	0.35	0.44
	GNPP	-80.91	-0.80	0.02*	224.02	0.95	<0.001***	254.26	0.95	<0.001***
TPL	Cons.	25.8		0.01**	-22.22		0.02*	-101.22		0.001***
	$T_a$	-0.29	-0.38	0.28	0.04	0.27	0.51	0.15	0.35	0.33
	SWC	-3.30	-0.68	0.04*	0.46	0.87	0.002**	14.26	0.94	<0.001***
	PAR	-0.11	-0.27	0.68	0.02	0.16	0.70	0.01	0.01	0.86
	Rainfall	-0.25	-0.42	0.45	0.46	0.88	0.002**	0.22	0.25	0.49
	GNPP	-47.72	-0.71	0.01**	0.14	0.76	0.02*	0.26	0.59	0.05*
DRT	Cons.	49.17		0.01**	-28.56		0.003**	-102.48		<0.001***
	$T_a$	-0.11	-0.12	0.75	-0.04	-0.25	0.55	0.04	0.14	0.73
	SWC	-4.58	-0.72	0.01*	6.70	0.92	<0.001***	14.31	0.95	<0.001***
	PAR	0.13	0.16	0.65	-0.02	-0.17	0.68	-0.06	-0.26	0.50
	Rainfall	0.49	0.27	0.45	0.76	0.88	0.002**	0.06	0.10	0.28
	GNPP	-0.42	-0.49	0.16	17.49	0.75	0.01*	51.92	0.71	0.02*
SHB	Cons.	47.16		0.04*	-42.36		0.08	-84.39		0.06
	$T_a$	-0.07	-0.11	0.85	0.50	0.63	0.05*	0.46	0.54	0.11
	SWC	-4.07	-0.82	0.04*	8.70	0.82	0.002**	11.30	0.70	0.01*
	PAR	0.15	0.24	0.69	0.30	0.44	0.21	0.27	0.32	0.37
	Rainfall	-0.36	-0.52	0.37	0.48	0.61	0.05*	0.40	0.41	0.24
	GNPP	-0.01	-0.02	0.98	0.37	0.63	0.05*	0.36	0.50	0.14



**Fig. 8.** Sum of the two growing seasonal (June–September) carbon fluxes ( $\text{g C m}^{-2} \text{ season}^{-1}$ ) of the net ecosystem  $\text{CO}_2$  exchange (NEE, a), ecosystem respiration (ER, b), and gross ecosystem production (GEP, c). Significant differences between ecosystems are indicated by different letters at  $P = 0.05$ .

et al., 2008). Furthermore, annual GEP, ER and NEE were comparable to another grassland south of the Mongolian Plateau that also had a similar LAI and NEE of  $124 \text{ g C m}^{-2}$  in 2004–2005 (Fu et al., 2009). The only available publication within the same region (Li et al., 2005)

was conducted at a typical steppe in 2003, where the authors reported a C neutral or a minor sink. However, the annual precipitation was much higher (244 mm) than for either year at our study sites. Our study, for the first time, included the *A. splendens* dry steppe – a widely-distributed cover type characterized by overgrazing in Mongolia, which appeared as an annual C source ( $166 \text{ g C m}^{-2}$ ). Supposing this DRT changed from a MDW due to global warming and/or overgrazing, this would mean a 2.4 fold increase of C release. Zhao et al. (2006) and Fu et al. (2009) found that alpine shrublands acted as a C sink ( $-52$  and  $-596 \text{ g C m}^{-2} \text{ yr}^{-1}$ , respectively), whereas we observed a C source both during the individual growing season and at the annual scale. Compared to our sites, the annual mean precipitation of their sites were  $> 500 \text{ mm}$  and the SWC was significantly higher, indicating that SWC was the main reason for the C budget differences in the shrublands (Table 5).

In sum, the Mongolian Plateau possesses a variety of C flux regimes owing to its different vegetation type, soil, and climate (Liu et al., 2014). It is a very sensitive biogeographical area due to its semi-arid environment and vast coverage area (Li et al., 2005). Our results show that management (e.g., fires) may be necessary to enhance the resilience of shrubs, and to impose a shift from shrubland to grassland (Rostagno et al., 2006; Gower et al., 2015). Grassland management may also be needed (Laforteza and Chen, 2016; Smiraglia et al., 2016), which leads to an increase in C assimilation ability and ecosystem productivity (Wangchuk et al., 2013; Ahlström et al., 2015) as well as the mediation of the global warming (Woodward and Kelly, 2008; Gilmanov et al., 2010).

A large proportion of the rural population in Mongolia is made up of nomadic herders, who are vulnerable to the effects of vegetation changes on forage cover and productivity (Angerer et al., 2008). The collapse of the former Soviet Union resulted in the privatization of the state owned herds (Chen et al., 2015a) and a sharp increase in livestock stocking rates (John et al., 2016). Several studies have linked the level of degradation in the Mongolian grasslands to the increase in livestock and the proportion of goats to sheep, which might increase the degree

**Table 5**

Comparison of ecosystem characteristics and C fluxes of eddy covariance (EC) measurements on the Mongolian Plateau or the nearby dryland Eurasian steppe area. LAI, leaf area index; NEE, net ecosystem CO<sub>2</sub> exchange. Unit is  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  for min and max NEE,  $\text{g C m}^{-2} \text{ d}^{-1}$  for daily NEE, and  $\text{g C m}^{-2} \text{ yr}^{-1}$  for annual NEE. NA, data not available.

Ecosystem type	Year	LAI	Min NEE	Max NEE	Min daily NEE	Annual NEE	Source
<i>Leymus chinensis</i> meadow steppe	2007–2008	2.9–3.1	–16.7	4.0	–6.3	–160 to –64	(Dong et al., 2011)
<i>Leymus chinensis</i> meadow steppe	2009–2013	NA	NA	NA	–4.0	–150 to –62	(Qu et al., 2016)
<i>Potentilla fruticosa</i> L.shrub-meadow	2004–2005	2.6–2.7	NA	NA	NA	–52 to –85	(Fu et al., 2009)
<i>Stipa capillacea</i> meadow steppe	2004–2005	0.7–1.0	NA	NA	NA	37–55	
<i>Leymus chinensis</i> temperature steppe	2004–2005	0.5–1.0	NA	NA	NA	107–140	
<i>Stipa krylovii</i> temperature steppe	2003	0.6	–3.6	1.2	–2.3	–41	(Li et al., 2005)
<i>Stipa krylovii</i> temperature steppe	2004–2006	0.8–1	–8 to –3	NA	NA	37–68	(Wang et al., 2008)
<i>Stipa klemenzii</i> desert steppe	2008–2010	0.4–0.5	NA	NA	–1.6	–23–26	(Yang et al., 2011)
<i>Stipa breviflora</i> desert steppe	2010	0.7	–2.4	1.5	–0.9	43	(Shao et al., 2013)
<i>Stipa breviflora</i> desert steppe	2011	0.4	–3.8	1.0	–1.5	48	
<i>Leymus chinensis</i> meadow steppe	2014	0.9	–7.9	5.4	–4.3	59	This study
	2015		–6.9	3.7	–5.5	79	
<i>Stipa krylovii</i> temperature steppe	2014	1.0	–6.2	4.8	–1.5	126	
	2015		–4.7	2.2	–2.3	187	
<i>Achnatherum splendens</i> dry steppe	2014	1.0	–4.3	3.8	–1.7	139	
	2015		–5.0	3.1	–1.8	193	
<i>Caragana stenophylla</i> shrubland	2014	0.8	–3.4	3.4	–1.4	168	
	2015		–3.0	2.4	–1.4	186	

of degradation (Hilker et al., 2014). Fernandez-Gimenez (2000) suggested that the meadow steppe (C-sink) responds to the equilibrium or range control theory of grassland dynamics, where the grazing pressure heavily influences vegetation dynamics in addition to abiotic controls, while the dry steppe and typical steppe both respond to highly variable precipitation and soil moisture. This suggests that disturbances (e.g., grazing and other land use) might cause above ground biomass reduction and soil erosion, consequentially resulting in a significant vegetation change. The reduced cover and eroded soil might facilitate a transition to a more resilient, typical steppe, and finally to a dry steppe species (C-source) such as shrubs dominating the landscape, which would have a deleterious effect on the regional C balance. The maintenance of the human-nature balance in the context of ongoing global changes is increasingly challenging these days. From the standpoint of C sequestration and grassland productivity, a baseline assessment of ecological status and continued monitoring of Mongolian grasslands changes can provide ecologically-sound grassland management strategies.

## 5. Conclusions

For the first time, we developed a cluster of four eddy covariance towers in Mongolia to quantify how C fluxes shift among four different dominant ecosystems and their physical regulations at the high-latitude ecological area of the northern Mongolian Plateau. All four sites acted as a C source at the annual scale; however, during the two growing seasons, meadow steppe acted as a C sink, temperate and dry steppes as C neutral, and shrubland as a C source. At an annual time scale, meadow-to-shrub and typical steppe conversions would result in a 2.6- and 2.2-fold increase in C release, respectively, whereas a typical steppe-to-shrub conversion would result in a 1.1-fold increase. Meadow steppe was more greatly affected by temperature, while both the typical steppe and shrubland were more greatly affected by water status. With increasing land use and drought intensity, a clear trend of increased CO<sub>2</sub> emissions will result in further global warming, which should be taken into consideration by natural resource management departments and policy makers.

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